

### Biomed Hub 2017;2:455818 (DOI: 10.1159/000455818)

Received: October 26, 2016 Accepted: January 3, 2017 Published online: February 21, 2017 © 2017 The Author(s) Published by S. Karger AG, Basel www.karger.com/bmh



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**Review** 

## The Role of Fish Oil in Inflammatory Eye Diseases

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### What Is It about?

This article reviews the evidence that fish oil can help manage two common inflammatory eye diseases – dry eye disease and age-related macular degeneration. It also describes mechanisms, including conversion of long-chain omega-3 fatty acids into some recently discovered anti-inflammatory mediators, that may explain the beneficial effects of fish oil on these diseases. It attempts to explain discrepancies in the clinical data and makes a recommendation as to the effective dose.

## **Keywords**

Fish oil  $\cdot$  Omega-3 fatty acids  $\cdot$  Anti-inflammation  $\cdot$  Dry eye disease  $\cdot$  Age-related macular degeneration  $\cdot$  Omega-3 Index

#### **Abstract**

Consumption of fish oil is associated with reduced morbidity and mortality of cardiovascular diseases and also reduces the severity of many other inflammatory diseases and autoimmune disorders. The beneficial effects are attributed to the anti-inflammatory effects of the omega-3 polyunsaturated fatty acids, eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA), found in fish oils. The mechanism of the anti-inflammatory effects was long thought to be by modulating the production of proinflammatory mediators, including prostaglandins, thromboxanes, and leukotrienes. Recent advances in research into the novel lipid mediators (resolvins, protectins, and maresins) derived from EPA and DHA and their role in the resolution of inflammation have shed new light on the pleiotropic nature of these fatty acids. In this review, we focus on the effects of EPA and DHA from fish oil in the treatment of two common inflammatory eye diseases – dry eye disease and age-related macular degeneration. Evidence from recent studies lends support to a role of fish oil in the treatment of these two eye diseases.

Published by S. Karger AG, Basel

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## Introduction

Inflammation is a vital self-defense immunological mechanism to protect organisms from infection, injury, trauma, and other insults. The defense mechanisms against infection can be nonspecific (e.g., leukocytes and macrophages against bacteria) or specific (e.g., antibody-mediated inactivation of inflammatory agents involving dendritic cells, lymphocytes, and plasma cells). Leukocytes migrate from the blood into the affected surrounding tissue, a process promoted by chemoattractants from the site of inflammation and by the release of chemical mediators, which include prostaglandins and leukotrienes. The influx of cells into the site of inflammatory activity and the presence of the inflammatory mediators produced as a result generate the cardinal signs of inflammation: redness, swelling, heat, pain, and loss of function [1]. Since acute inflammation is a protective response to eliminate invading organisms and to permit repair of injured tissue, it is ideally self-limiting and leads to a complete resolution enabling a return to homeostasis [2]. For a successful outcome, the acute inflammatory response must be triggered to bring about recruitment of neutrophils, activation of macrophages, and production of a series of mediators to enable an ultimate resolution of the inflammatory process, triggering events that lead to elimination of invading organisms, tissue regeneration, and wound healing. Failure to come to a resolution may lead to excessive or chronic persistent inflammation, which is an underlying pathology in many diseases, such as cardiovascular diseases, neurodegenerative diseases, and eye diseases.

The key lipid mediators that are generated during inflammation are derived from the fatty acids that are incorporated into cell membrane phospholipids. Dietary lipids have a direct impact on the types and amount of fatty acids incorporated into the cell membranes. Phospholipids of blood cells taken from humans consuming a typical Western diet typically contain 15–20% of omega-6 fatty acids as arachidonic acid (ARA), omega-3 (n-3) fatty acids as 0.5-1% eicosapentaenoic acid (EPA), and 2-3% docosahexaenoic acid (DHA) [1]. Eicosanoids are the lipid mediators generated by metabolic pathways from these fatty acids. ARA is usually the major substrate for eicosanoid biosynthesis due to its abundance in the cell membrane. Free ARA cleaved from membrane phospholipids can then convert into different classes of eicosanoids (2-series prostaglandins, thromboxanes, 4-series leukotrienes, hydroxyeicosatetraenoic acids, etc.) dependent on various cellular enzymes (cyclooxygenases [COX], lipoxygenases [LOX], and cytochrome P450 enzymes, etc.). These eicosanoids from ARA are generally proinflammatory and are initiated during the acute phase of inflammation. In both animal and human experiments, incorporation of marine n-3 fatty acids, such as EPA and DHA, into the membrane phospholipids of cells involved in inflammation occurs in a time- and dose-dependent fashion and at the expense of ARA [1]. Therefore, it was thought that the beneficial effects of taking fish oil are the result of displacing ARA by EPA and/or DHA, which then lowers the generation of proinflammatory eicosanoids. In addition, EPA and DHA were also shown to inhibit ARA metabolism, including decreasing the gene expression of COX-2 [1, 3], which generates the proinflammatory eicosanoids. Human studies have shown that oral intake of fish oil (EPA + DHA) decreases the production of ARA-derived eicosanoids [1, 4]. Similar to ARA, EPA, a 20-carbon unsaturated fatty acid, is also a substrate for the eicosanoid-producing COXs, LOXs, and cytochrome P450 enzymes. However, due to structural differences, mediators generated from EPA give rise to 3-series prostaglandins, thromboxanes, and 5-series leukotrienes, which are in general less biologically potent than mediators from ARA [1]. Therefore, replacing ARA by EPA provides at least 2 ways to reduce the inflammatory response. In addition, recent advances in the uncovering of novel classes of mediators of resolution of inflammation showed that resolution is an active programmed response that is turned on during the



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Fig. 1. Pro-resolving endogenous mediators: lipoxin, resolvin, protectin, and maresin.

course of inflammation [2]. These lipid mediators (resolvins, protectins, and maresins) derived from EPA and, for the most part, from DHA shed new light on the anti-inflammatory and pro-resolving activities provided by taking fish oil.

# Resolution of Inflammation and Pro-Resolving Mediators Derived from Long-Chain n-3 Fatty Acids

Based on the review by Buckley et al. [5], the process of resolution of inflammation includes 5 key steps: (1) clearance of the inciting stimuli; (2) catabolism of local survival signals and silencing of intracellular proinflammatory signaling pathways; (3) normalization of chemokine gradients; (4) efferocytosis by tissue and macrophages, and (5) either incorporation of these myeloid cells into the local population or their recirculation via lymph or blood. Lipid mediators, including the eicosanoids and the pro-resolving mediators derived from ARA, EPA, and DHA, represent key signaling molecules in the process which regulates the inflammatory profile and promotes the return of affected tissues to homeostasis.

In fact, it is now known that ARA is also a substrate that can generate lipoxins (LXA4), a class of pro-resolving lipid mediators, via 12/15-LOX due to class switching, a temporal switch in inflammatory exudates during acute inflammation to regulate leukocyte infiltration [6, 7]. The n-3 fatty acids EPA and DHA are the substrates for the biosynthesis of potent anti-inflammatory and pro-resolving endogenous mediators, such as resolvins, protectins, and maresins, via COX-2, 5-LOX, and 12/15-LOX (Fig. 1). These specialized pro-resolving mediators (SPMs) exert diverse biological effects on immune function, including the ability to counterregulate mediators that trigger leukocyte trafficking [5]. Functionally, SPMs enhance bacterial clearance by stimulating mucosal production of bacterial peptides, enhancing bacterial phagocytosis by leukocytes and macrophages, and working synergistically with antibiotics to enhance their therapeutic action. Importantly, although they encourage resolution, they do not compromise host immune competence but can increase survival from





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infection in animals [5]. Therefore, functionally, these SPMs could be called endogenous "healing" molecules and may exert protective effects against inflammatory eye diseases, such as dry eye disease (DED) and age-related macular degeneration (AMD).

A PubMed search was conducted using the terms "fish oils," "dry eye," and "age-related macular degeneration." Of the articles retrieved by this method, we reviewed all publications in English and abstracts of non-English publications, which included articles that described the incidence, pathogenesis, prevention, and treatment of inflammatory eye diseases. Emphasis was placed on articles published since the review on "Omegas and Dry Eye" by Hom et al. [8], but we included earlier articles that provided a more comprehensive understanding of n-3 fatty acids in inflammatory eye diseases, including AMD. We listed clinical trial articles that described the treatment for DED and AMD with a treatment group using only n-3 fatty acids from fish oil, so that the efficacy of the treatment was solely due to the n-3 fatty acids and not to a combination with other nutrients or antioxidants. Table 1 provides a brief summary of selected clinical studies using fish oil for these 2 diseases.

## **Dry Eye Disease**

Based on the Report of the International Dry Eye Work Shop (DEWS) [9, 10], DED is defined as a "multifactorial disease of the tears and ocular surface that results in symptoms of discomfort, visual disturbance, and tear film instability with potential damage to the ocular surface." It is accompanied by increased osmolarity of the tear film and subacute inflammation of the ocular surface. The ocular surface (cornea, conjunctiva, and accessory lacrimal glands), meibomian glands (specific sebaceous glands of the eyelid margin, which produce the outer lipid film of the tear film), the main lacrimal gland, and the innervations between them form a functional unit. Any or all of these structures may be affected in DED. Recent studies have shown that dry eye is an inflammatory disease that has many features in common with autoimmune disease [10]. Stress to the ocular surface (environmental factors, infection, endogenous stress, antigens, and genetic factors) is postulated as the pathogenic triggering mechanism [10]. The meibomian glands, found in the upper and lower eyelids, excrete lipids onto the ocular surface that form the outermost layer of the tear film, lubricating the ocular surface during blinking and protecting it against tear evaporation. Through dysfunction of the meibomian glands, reduced lipid secretion may contribute to tear film instability and entry into the vicious circle of DED. Indeed, meibomian gland dysfunction is the most common cause of evaporative DED [11]. For years, patients with dry eye have used treatments, such as artificial tears, ointments, and punctal plug (tear duct plug), for symptom relief only. Anti-inflammatory drugs, such as steroids or cyclosporine A, can be used but with potential side effects.

A recent double-blind clinical study evaluated the efficacy of oral intake of a combined nutraceutical formulation containing n-3 fatty acids (1.27 g/day) with vitamins and minerals for 3 months targeting meibomian gland dysfunction [12]. The results showed beneficial effects of the treatment with improvement in tear stability and reduction of eyelid inflammation. Indeed, based on a meta-analysis of randomized controlled clinical studies [13], oral n-3 fatty acid supplementation was associated with better tear breakup time and improvement in Schirmer's test (measurement of the secretions of the lacrimal gland) [10]. Oral intake of n-3 fatty acids was also found to have beneficial effects on dry eye in contact lens wearers [14], computer vision syndrome-related dry eye [15], visual display terminal users [16], and rosacea patients having dry eye symptoms [17]. A summary of the clinical studies is given in Table 1a. These clinical studies' results provide some direct evidence to support the observation in the Women's Health Study with 32,470 participants showing that women with higher intake of n-3 fatty acids have a decreased prevalence of dry eye syndrome [18].





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 Table 1. Summary of randomized clinical studies using fish oil for inflammatory eye diseases

Authors [ref.]	Study and participants' characteristics	n-3 fatty acids (EPA + DHA + DPA) dose and duration	Results
a Dry eye disease			
Olenik et al. [12]	Randomized double-blinded placebo-controlled study (total $n=61,\mathrm{M}+\mathrm{F},\mathrm{mean}$ age $54-58\mathrm{y/o})$	1.27 g/day for 3 months	Improvement in OSDI, TBUT, Schirmer's test, and lid margin inflammation
Bhargava et al. [14]	Randomized double-blinded placebo-controlled study (total $n = 496$ , contact lens wearers with contact lens wearing time 1.4-4 years)	1.2 g/day for 6 months	Improvement in symptom score, lens wear comfort level, TBUT, Nelson grade in conjunctival cytology Only small increase in Schirmer's test
Bhargava et al. [15]	Randomized double-blinded placebo-controlled study (total $n = 456$ , computer users more than 3 h/day, mean age $23-24$ y/o)	1.2 g/day for 3 months	Improvement in symptoms, TBUT, Nelson grade in conjunctival cytology, goblet cell density, and Schirmer's test
Bhargava et al. [16]	Randomized double-blinded placebo-controlled study (total $n=522$ , visual display terminal users, mean age $29-30 \text{ y/o}$ )	2.4 g/day for 45 days	Improvement in symptoms, TBUT, Nelson grade in conjunctival cytology No significant change in Schirmer's test
Bhargava et al. [58]	Randomized double-blinded placebo-controlled study (total $n=518$ , M + F, mean age $39-40$ y/o)	1 g/day for 3 months	Improvement in symptoms, TBUT, and Schirmer's test
Kangari et al. [59]	Randomized double-blinded placebo-controlled study (total $n=64, \rm M+F, mean age 61-62 y/o)$	0.6 g/day for 30 days	Improvement in OSDI, TBUT, and Schirmer's test
Kawakita et al. [60]	Randomized double-blinded placebo-controlled study (total $n=27, \rm M+F, mean$ age $52-53$ y/o)	1.785 g/day for 12 weeks	Improvement in symptoms, TBUT, and Rose Bengal ocular surface staining score No change in Schirmer's test
Epitropoulos et al. [61]	Randomized double-blinded placebo-controlled study (total $n=105, M+F,$ mean age $57\ y/o)$	2.24 g/day for 3 months	Improvement in tear osmolarity, TBUT, OSDI, MMP-9, and Omega-3 Index
Bhargava et al. [17]	Randomized double-blinded placebo-controlled study (total $n=130$ , patients with rosacea, M + F, mean age $48-49y/o$ )	2.4 g/day for 6 months	Improvement in symptoms, TBUT, MGS, and Schirmer's test
<b>b</b> Age-related macular degeneration	eneration		
AREDS2 Research Group [33]	AREDS2, randomized double-blinded placebo-controlled study (total $n=4.203$ , M + F, mean age $74\mathrm{y/o}$ )	1 g/day for 5 years in addition to AREDS formulation (as control)	Addition of DHA + EPA to the AREDS formulation did not further reduce risk of progression to advanced AMD
Dawczynski et al. [36]	LUTEGA, randomized double-blinded placebo-controlled study (total $n=172, \rm M+F, mean age 70  y/o)$	0.13 g/day with lutein and zeaxanthin for 1 year	Improvement in macular pigment (MPOD) and visual acuity (BCVA)
NAT-2 study group [46, 51]	NAT-2, randomized double-blinded placebo-controlled study (total $n=263$ , M + F, mean age $74  \mathrm{y/o}$ )	1.11 g/day for 3 years	Overall, the treatment group has the same incidence of CNV as the placebo group; however, patients steadily achieving the highest tertile of EPA + DHA levels in RBCM had a significantly lower risk of CNV developing over 3 years
Rezende et al. [43]	Randomized open-label (total $n=40$ , wet-AMD patients receiving anti-VEGF treatment)	0.6 mg/day for 6 months	n-3 supplementation combined with anti-VEGF treatment is associated with decreased vitreal VEGF- $\alpha$ levels

EPA, eicosapentaenoic acid; DHA, docosahexaenoic acid; DPA, docosapentaenoic acid; AMD, age-related macular degeneration; OSDI, ocular surface disease index; TBUT, tear breakup time; y/o, years old; MMP-9, matrix metalloproteinase-9; MGS, meibomian gland score; AREDS2, Age-Related Eye Disease Study 2; NAT-2, Nutritional AMD Treatment 2; MPOD, macular pigment optical density; BCVA, best-corrected visual acuity; RBCM, red blood cell membrane; CNV, choroidal neovascularization; VEGF, vascular endothelial growth factor.







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Severe dry eye is one of the complications of patients who undergo corneal surgery. It is estimated that 1.5 million people undergo refractive surgery, such as LASIK (laser in situ keratomileusis) and photorefractive keratectomy, in the United States each year [19]. The cornea is heavily innervated [20]. Dry eye can arise from sensory denervation during the surgery. Based on the novel lipid mediators and their role in resolution of inflammation, DHA was tested by direct application to eyes topically with pigment epithelial-derived growth factor in animal studies of dry eye and corneal nerve regeneration after corneal surgery. The results showed that neuroprotectin D1 (NPD1), a SPM derived from DHA, was detected in the tissue and that DHA enhanced the nerve regeneration effect of pigment epithelial-derived growth factor [19] and reduced the biosynthesis of the proinflammatory mediator leukotriene B4 [21]. Additional study showed that NPD1 directly enhanced corneal nerve regeneration similarly to DHA and pigment epithelial-derived growth factor and had anti-inflammatory effects by reducing neutrophil infiltration [22]. These results further illustrated the healing effects of n-3-derived SPM. Receptors for SPMs (RvE1 and LXA<sub>4</sub>) were detected in the corneal tissue (epithelium, stromal-endothelial layers, and CD11b+ immune cells [macrophage or dendritic cell]) in mice [23]. Topical application of SPMs (RvE1 and RvD1) and an LXA4 analog to inflamed eyes with suture-induced corneal neovascularization reduced the infiltration of neutrophils and macrophages, proinflammatory cytokines (tissue necrosis factor- $\alpha$  [TNF- $\alpha$ ] and IL-1 $\beta$ ), vascular endothelial growth factor (VEGF) expression, and angiogenesis [23]. Currently, there are SPM analogues developed for topical use as a treatment option for ocular surface inflammation and disease [22].

## **Age-Related Macular Degeneration**

AMD is the degeneration of the retinal macula, the region responsible for central vision. AMD is a leading cause of blindness in the United States and England [24]. Clinical findings associated with AMD include drusen (extracellular deposits of protein-carbohydrate-lipid complexes under the retina) and abnormalities in retinal pigment epithelium, including detachment, geographic atrophy, and choroidal neovascularization (CNV), which may include retinal detachment with hard exudates, subretinal hemorrhages, and scar formation [24]. The prevalence of identifiable drusen increases with age, especially after the sixth decade. Larger and more extensive drusen seem to be associated with the risk of central visual acuity loss. This vision loss can come from the development of CNV, the neovascular form (wet AMD) that causes most of the severe vision loss from AMD, or from nonneovascular lesions, such as geographic atrophy (dry AMD) [24]. Neovascular AMD is a complex disease which may be triggered by aging and environmental stress in an individual with genetic predisposition. The pathophysiological signaling pathways may involve inflammation, oxidative stress, and/or angiogenesis in the retinal pigment epithelium cells and choroidal endothelial cells, leading to vision loss from CNV [25, 26]. There is no effective treatment for most cases of AMD; however, a small proportion of patients with well-defined CNV benefit from laser photocoagulation [24]. Recent advances in the development of intravitreal antiangiogenic therapies (anti-VEGF antibody injection) for neovascular AMD have greatly improved clinical outcomes in the last decade; however, vision improvement during the first 2 years was not maintained at 5 years, and monthly treatments were associated with an increased risk of developing geographic atrophy [27]. Currently, treatments remain inadequate for atrophic AMD [26].

Due to the prevalence of the diseases, the National Eye Institute (NEI) of the National Institutes of Health (NIH) sponsored the Age-Related Eye Disease Study (AREDS), a long-term multicenter prospective study of 4,757 people aged 55–80 years designed to assess the clinical course, prognosis, and risk factors of both AMD and cataracts [24]. In addition to the



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clinical course, AREDS was also designed to evaluate the potential safety and efficacy of anti-oxidant vitamins and zinc in reducing the incidence or slowing the progression of AMD and/or cataracts [24]. The initial AREDS study demonstrated that the combination of oral supplements, consisting of the vitamins C, E, and  $\beta$ -carotene and minerals, i.e., zinc with copper, reduced the 5-year risk of developing advanced AMD in eyes with intermediate AMD by 25% and the risk of moderate vision loss by 19%. The AREDS formulation had no effect on the development or progression of cataract [28].

Data from AREDS, other epidemiologic studies, and animal studies provide a rationale to evaluate the potential impact of other nutrients on the treatment of AMD. Results from observational studies suggest that higher intake of lutein plus zeaxanthin (L + Z) may reduce the risk of cataract development and progression to advanced AMD [28].

Epidemiologic studies suggest that increased dietary intake of n-3 long-chain polyun-saturated fatty acids (LCPUFAs) and fish products may reduce the risk and incidence of advanced AMD [28–32]. A multicentered follow-up study, the Age-Related Eye Disease Study 2 (AREDS2), sponsored by the NEI, was aimed at evaluating the efficacy of L + Z in place of β-carotene and/or n-3 LCPUFA supplementation adding to the original AREDS formula in reducing the risk of developing advanced AMD [28]. Based on the outcome of the study, addition of either L + Z, or DHA + EPA, or both to the AREDS formulation did not further reduce the progression to advanced AMD in the primary analysis [33]. After having further examined the data with a secondary analysis, it was concluded that L + Z could further reduce the risk of AMD progression and could be more appropriate than β-carotene in the AREDS formula to reduce the potential risk of lung cancer in smokers [34, 35].

In another clinical study, the LUTEGA study, a combination of L + Z, antioxidants, and fish oil supplementation for 1 year resulted in a significant increase in macular pigment optical density in nonexudative AMD patients' eyes [36]. L and Z, which are also antioxidants, are the key components of macular pigment. The antioxidant effects of L and Z can prevent lipid peroxidation by quenching free radicals or reactive oxygen species [36, 37]. Furthermore, macular pigment absorbs short wavelength with a maximum of 460 nm, acts as an optical filter, and reduces chromatic aberration of the eyes [38]. These results support the use of an L + Z supplement in AMD patients to reduce the risk of AMD progression. However, the absence of a significant treatment effect for DHA + EPA in the AREDS2 study merits further discussion.

DHA is the major structural n-3 LCPUFA of retinal photoreceptor outer segment membranes. Biophysical and biochemical properties of DHA may affect photoreceptor membrane function by altering permeability, fluidity, thickness, and lipid phase properties [39]. Tissue DHA status affects retinal cell signaling mechanisms involved in phototransduction. DHA, its precursor EPA, and their metabolites have the capacity to help regulate gene expression, cell signaling, and survival pathways, as well as modulate immune and inflammatory processes implicated in the pathogenesis of retinal vascular and neural cell disease [28, 39]. In addition to the modulation of inflammatory eicosanoids and mediators, EPA could also directly downregulate VEGF signaling [39] and suppress the CNV induced by laser photocoagulation in animals fed an EPA-rich diet [40]. Dietary n-3 fatty acids (EPA + DHA) also protected animals from hyperoxia-/hypoxia-induced pathological retinal angiogenesis by producing pro-resolving SPMs (NPD1, ResD1, and ResE1) in the retina against neovascularization and suppressed the key proinflammatory cytokine TNF- $\alpha$  [41]. TNF- $\alpha$  plays a crucial role in this disease process; it was shown to upregulate VEGF in retina and retinal pigment epithelium cells and induced an inflammatory process that results in the alteration of the retinal vasculature [42]. Indeed, a pilot clinical study showed that supplementing n-3 fatty acids (1,052 mg fish oil containing 400 mg EPA + 200 mg DHA) daily for 3 months in AMD patients who underwent anti-VEGF intravitreal injection significantly lowered vitreal VEGF





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levels when compared with patients not taking fish oil [43]. This result further strengthens the beneficial effects of n-3 in the treatment of wet AMD.

Against this background of in vitro, in vivo, and clinical studies plus all the observational and epidemiological studies, AREDS2 did not find that taking n-3 fatty acids had a significant effect in reducing the progression of AMD. Due to the complex multifactorial nature of the pathological process of AMD, nutritional supplementation usually requires multicomponents for various targets as shown by the success of the first AREDS formulation. Similarly, a recent clinical study using a multicomponent nutritional supplement for Diabetes Visual Function (DiVFuSS) showed meaningful improvement in visual function for retinopathy in diabetic patients [44]. However, based on the review by Souied et al. [45], the design, setting, intake, or subjects of AREDS2 may not have permitted the prophylactic potential of n-3 to be adequately demonstrated. As mentioned in the review, the study design of AREDS2 did not try to investigate the efficacy of n-3 fatty acids alone but to identify if the addition can further reduce the risk of AMD by 25% or more. AREDS2 added the n-3 fatty acid supplement to the AREDS1 formula and compared the progression of AMD with patients taking AREDS1 without a real control group with placebo [33, 45]. It was considered ambitious to achieve a further 25% risk reduction given that all participants in AREDS2 received the AREDS formulation as a background treatment [45]. Also, as pointed out by Souied et al. [45], subjects in AREDS2 were well educated, predominantly white, well nourished, and likely to be health conscious, with more than 40% taking cholesterol-lowering drugs and less than 7% being smokers (compared with the US average at that time of about 19%). About 20% of the participants in AREDS2 were ≥80 years old compared to the first AREDS study, where patients were all <80 years old at the start of the study [24, 28]. Moreover, more than 10% of the control group subjects took nutritional supplements contravening the protocol guidelines [33, 45]. Indeed, a number of key baseline nutritional parameters, including serum L, Z, and DHA/EPA, were significantly better in the AREDS subjects than in the US population as a whole. And specifically, more than 10% of participants in the control group added a DHA/EPA supplement to their diet [33, 45]. Therefore, with these confounding factors and limitations in AREDS2, the study was not able to demonstrate the efficacy of n-3 fatty acids in the prevention against AMD.

The only other large prospective randomized clinical study to investigate the prophylactic effects of n-3 on AMD was the Nutritional AMD Treatment 2 (NAT-2) study carried out in France. The NAT-2 was a double-blind, prospective, single-center, randomized, placebocontrolled, comparative trial in 300 patients with neovascular AMD in one eye receiving daily oral n-3 fatty acid capsules (840 mg DHA + 270 mg EPA) or placebo (olive oil capsules) over 3 years, for which the assessment of time to occurrence of CNV in the eye without CNV at entry was the primary efficacy end point. The aim was to evaluate the efficacy of oral PUFA supplementation enriched by DHA in the progression of AMD in a 3-year period [46]. Since the results of their prior pilot study (NAT-1), in which EPA (720 mg) + DHA (480 mg) daily was used for 6 months, showed only a mild DHA increase in patients' blood, it was decided to increase the DHA dose in the NAT-2 study to over 700 mg/day [47]. An important feature of the NAT-2 study was that patients' blood was obtained and levels of n-3 fatty acid in both serum and red blood cell (RBC) membranes were measured as biomarkers that allowed the investigators to evaluate the true compliance objectively and to correlate the n-3 fatty acid levels with the risk of AMD. Using RBCs is more reliable than plasma in evaluating n-3 fatty acid status, since clinical studies have shown that levels in RBCs are biologically less variable and a better biomarker for estimated chronic n-3 fatty acid status [48, 49]. Lipid levels in RBCs were shown to correlate very well with lipid levels in retina [50].

Similar to AREDS2 and many clinical studies, compliance to the protocol was an issue that could confound the results and reduce the power to detect a significant difference between







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the placebo and the treatment groups. In the NAT-2 study, it was detected that there was an increase in EPA levels in the placebo group, which may indicate that a significant portion of the patients might have increased their seafood or n-3 supplement intake after study enrollment. Also, about 25% of the patients in the treatment group had low DHA levels suggesting poor compliance or not responding to supplementation [46]. Therefore, it was not a surprise that the primary outcome analysis of the study did not show any significant treatment effect for a reduction of the incidence of CNV. However, when using the RBC membrane n-3 levels in the secondary analysis, the results showed a significantly lower risk (-68%, p = 0.047; hazard ratio 0.32; 95% confidence interval [CI] 0.10-0.99) of CNV in patients in the n-3 treatment group with a steadily high EPA plus DHA index over 3 years [46]. A subsequent study and analysis of the NAT-2 data more strongly highlighted the relationship between PUFAs and AMD incidence. Patients from the NAT-2 study with neovascular AMD in one eye and early lesions in the other eye were compared with 144 normal controls without AMD [51]. Not only was dietary oily fish and seafood consumption significantly lower in patients with AMD than in controls, but RBC EPA and EPA + DHA were associated with a substantially and significantly lower risk of neovascular AMD (odds ratio 0.25, 95% CI 0.13-0.47, p < 0.0001, and odds ratio 0.52, 95% CI 0.29 - 0.94, p = 0.03, respectively) [45, 51]. A recent study examined 2 independent cohorts of donor eyes from AMD patients and control subjects and related their retinal lipid profiles with systemic biomarkers of lipid intake [50]. They found that RBC lipids correlated very well with retinal lipid in human eyes and are indeed excellent biomarkers of retinal lipid content. Eyes from AMD donors had significantly decreased levels of very long-chain PUFAs and low n-3/n-6 ratios [50], which was consistent with a study showing that AMD patients had a low serum DHA/ARA ratio compared to healthy individuals [52]. All these results support the notion from the NAT-2 study that maintaining a high EPA plus DHA index (Omega-3 Index, O3I) is important in reducing the risk of neovascular AMD.

The O3I (content of EPA + DHA in RBC membranes expressed as percentage of total fatty acid) was developed as a biomarker of tissue n-3 fatty acid status and has been used to evaluate the risk of cardiovascular diseases [53–55]. An O3I of ≥8% has been recommended as a cardioprotective level with the lowest risk of various cardiovascular diseases, and an O3I of <4% was associated with the highest risk [55]. In the NAT-2 study, the AMD patients in the n-3 fatty acid treatment group had a baseline O3I average of 3.68% at the start of the trial. Those patients with an O3I that reached the third tertile (8.68%) had a reduced risk compared to those with an O3I in the first tertile (4.81%), with a CNV incidence of 14.3% compared to 32.5%, respectively, after 3 years [46]. The same recommended cardioprotective O3I level of ≥8% appears to be applicable to reducing the risk in neovascular AMD. It is estimated that an average healthy adult with a low O3I (4.3%) would require at least 1 g/day of EPA + DHA for 5 months to achieve an O3I of 8% [56]. This is the same dosage as recommended by the American Heart Association (AHA) to reduce the risk of death from cardiovascular disease in the secondary prevention setting [57], and it is very close to the dosage (1.1 g) that was used in the NAT-2 study. Therefore, the 1 g/day of n-3 fatty acids recommended by the AHA also appears to be appropriate for reducing the risk of neovascular AMD.

### **Conclusions**

Inflammation plays a significant role in the disease process of DED and AMD. Lipid mediators, including eicosanoids and SPMs derived from membrane fatty acids, are the key players in the inflammatory process and its resolution. In addition to the well-established beneficial effects on reducing the risk of cardiovascular diseases, n-3 fatty acids (EPA and DHA) have





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now emerged as being important substrates for the production of SPMs that can bring on the ultimate resolution of the inflammatory process and may modulate angiogenesis, which is crucial in both corneal and choroidal neovascularization, the pathological process involved in certain forms of dry eye and AMD, respectively. Even though EPA and DHA are not considered to be "essential" fatty acids, due to the potential but inefficient conversion of  $\alpha$ -linolenic acid to EPA and DHA in the human body, the only way to get sufficient EPA and DHA is through dietary intake. Based on the O3I, the dosage recommendation for cardioprotective effects of EPA + DHA (1 g/day) can also be applied to reduce the risk of neovascular AMD progression and can benefit DED patients, especially people with low n-3 fatty acid status.

### **Disclosure Statement**

The authors declare no conflicts of interest.

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